# Artificial Intelligence CE-417, Group 1 Computer Eng. Department Sharif University of Technology

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By Mohammad Hossein Rohban, Ph.D.

Courtesy: Most slides are adopted from CSE-573 (Washington U.), original slides for the textbook, and CS-188 (UC. Berkeley).



#### Iterative improvement algorithms

- Previously: Search to find best path to goal
  - Systematic exploration of search space.
- Today: a state is solution to problem
  - For some problems path is irrelevant.
  - e.g., 8-queens
- In such cases, can use iterative improvement algorithms;
  - keep a single "current" state, try to improve it



#### Local search algorithms

- State space = set of "complete" configurations
- Find configuration satisfying constraints,
  - e.g., all n-queens on board, no attacks
- In such cases, we can use local search algorithms
- Keep a single "current" state, try to improve it.
- Very memory efficient
  - duh only remember current state

#### Constraint Satisfaction vs. Constraint Optimization





Goal Satisfaction

Constraint satisfaction reach the goal node guided by heuristic fn Optimization

Constraint Optimization optimize(objective fn)

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You can go back and forth between the two problems. Typically in the same

complexity class

# Local Search and Optimization

#### • Local search:

- Keep track of single current state
- Move only to "neighboring" state (defined by operators)
- Ignore previous states, path taken

#### • Advantages:

- Use very little memory
- Can often find reasonable solutions in large or infinite (continuous) state spaces.

#### • "Pure optimization" problems

- All states have an objective function
- Goal is to find state with max (or min) objective value
- Does not quite fit into path-cost/goal-state formulation
- Local search can do quite well on these problems.



# **Trivial Algorithms**

- Random Sampling
  - Generate a state randomly
- Random Walk
  - Randomly pick a neighbor of the current state
- Why even mention these?
  - Both algorithms are asymptotically complete.
    - If the state space is finite, each state is visited at a fixed rate asymptotically.



## Hill-climbing search

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"a loop that continuously moves towards increasing value"

- terminates when a peak is reached
- Aka greedy local search
- Value can be either
  - Objective function value
  - Heuristic function value (minimized)
- Hill climbing does not look ahead of the immediate neighbors
- Can randomly choose among the set of best successors
  - if multiple have the best value
- "climbing Mount Everest in a thick fog with amnesia"

#### Example: *n*-Queens

State

- All n queens on the board in some configuration
- But each in a different column
- Successor function
  - Move single queen to another square in same column.
- How to convert this into an optimization problem?



#### Hill-climbing search: 8-queens

• Result of hill-climbing in this case...



A local minimum with h = 1



# Hill-climbing performance on n-queens

- Hill-climbing can solve large instances of n-queens (n = 106) in a few (ms)seconds
  - 8 queens statistics:
    - State space of size  $\approx 17$  million
    - Starting from random state, steepest-ascent hill climbing solves 14% of problem instances

- It takes 4 steps on average when it succeeds, 3 when it gets stuck
- When "sideways" moves are allowed, performance improves ...
- When multiple restarts are allowed, performance improves even more

# Hill Climbing Drawbacks

Local maxima







# Trajectories, difficulties





-400

-500 -500

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# **Escaping Shoulders: Sideways Move**

- If no downhill (uphill) moves, allow sideways moves in hope that algorithm can escape
  - Must limit the number of possible sideways moves to avoid infinite loops
- For 8-queens
  - Allow sideways moves with limit of 100
  - Raises percentage of problems solved from 14 to 94%
  - However....
    - 21 steps for every successful solution
    - 64 for each failure





# Hill Climbing Properties

- Not complete. Why?
- Terrible worst case running time.
- Simple, O(1) space, and often very fast.

#### Tabu Search

- Prevent returning quickly to the same state
- Keep fixed length queue ("tabu list")
- Add most recent state to queue; drop oldest
- Never move to a tabu state
- Properties:
  - As the size of the tabu list grows, hill-climbing will asymptotically become "nonredundant" (won't look at the same state twice)
  - In practice, a reasonable sized tabu list (say 100 or so) improves the performance of hill climbing in many problems

# Hill Climbing: Stochastic Variations

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 When the state-space landscape has local minima, any search that moves only in the greedy direction cannot be complete

- Random walk, on the other hand, is asymptotically complete
- Idea: Combine random walk & greedy hill-climbing
- At each step do one of the following:
  - Greedy: With prob. p move to the neighbor with largest value
  - Random: With prob. 1-p move to a random neighbor

# Hill-climbing with random restarts

If at first you don't succeed, try, try again!

- Different variations
  - For each restart: run until termination vs. run for a fixed time
  - Run a fixed number of restarts or run indefinitely
- Analysis
  - Say each search has probability p of success
  - e.g., for 8-queens, p = 0.14 with no sideways moves
- Expected number of restarts?
- Expected number of steps taken?



# Hill-Climbing with Both Random Walk & Random Sampling

- At each step do one of the three
  - Greedy: move to the neighbor with largest value
  - Random Walk: move to a random neighbor
  - Random Restart: Start over from a new, random state

#### Simulated Annealing

Idea: escape local maxima by allowing some "bad" moves

- but gradually decrease their size and frequency
- method proposed in 1983 by IBM researchers for solving VLSI layout problems
- A Physical Analogy:
  - Imagine letting a ball roll downhill on the function surface
  - Now shake the surface, while the ball rolls,
  - Gradually reducing the amount of shaking



# Simulated Annealing (cont.)

- Annealing = physical process of cooling a liquid  $\rightarrow$  frozen
  - simulated annealing:
    - free variables are like particles
    - seek "low energy" (high quality) configuration
    - slowly reducing temp. T with particles moving around randomly
  - high T: probability of "locally bad" move is higher
  - low T: probability of "locally bad" move is lower
  - typically, T is decreased as the algorithm runs longer
    - i.e., there is a "temperature schedule"

#### Simulated Annealing (cont.)

```
function SIMULATED-ANNEALING (problem, schedule) returns a solution state
inputs: problem, a problem
          schedule, a mapping from time to "temperature"
local variables: current, a node
                     next, a node
                     T, a "temperature" controlling prob. of downward steps
current \leftarrow MAKE-NODE(INITIAL-STATE[problem])
for t \leftarrow 1 to \infty do
     T \leftarrow schedule[t]
     if T = 0 then return current
     next \leftarrow a randomly selected successor of current
     \Delta E \leftarrow \text{VALUE}[next] - \text{VALUE}[current]
     if \Delta E > 0 then current \leftarrow next
     else current \leftarrow next only with probability e^{\Delta E/T}
```



#### Simulated Annealing in practice

- Other applications:
  - Traveling salesman, Graph partitioning, Graph coloring, Scheduling, Facility Layout, Image Processing, ...
- Optimal, given that T is decreased sufficiently slow.
  - Is this a useful guarantee?
- Convergence can be guaranteed if at each step, T drops no more quickly than C/log n, C=constant, n = # of steps so far.

#### Local beam search

- Idea: Keeping only one node in memory is an extreme reaction to memory problems.
- Keep track of k states instead of one
  - Initially: k randomly selected states
  - Next: determine all successors of k states
  - If any of successors is goal  $\rightarrow$  finished
  - Else select k best from successors and repeat

## Local Beam Search

- Not the same as k random-start searches run in parallel!
  - Searches that find good states recruit other searches to join them
- Problem: quite often, all k states end up on same local hill
- Idea: Stochastic beam search
  - Choose k successors randomly, biased towards good ones
- Observe the close analogy to natural selection!

# Genetic algorithms

- Local beam search, but...
  - A successor state is generated by **combining two parent states**
  - Start with k randomly generated states (population)
  - A state is represented as a string over a finite alphabet (often a string of 0s and 1s)

- Evaluation function (fitness function). Higher = better
- Produce the next generation of states by selection, crossover, and mutation



#### n-queens example (cont.)



Has the effect of "jumping" to a completely different new part of the search space (quite non-local)

# **Comments on Genetic Algorithms**

- Genetic algorithm is a variant of "stochastic beam search"
- Positive points
  - Random exploration can find solutions that local search can't
    - (via crossover primarily)
  - Appealing connection to human evolution
    - "neural" networks, and "genetic" algorithms are **metaphors**!
- Negative points
  - Large number of "tunable" parameters
    - Difficult to replicate performance from one problem to another
  - Lack of good empirical studies comparing to simpler methods
  - Useful on some (small?) set of problems but no convincing evidence that GAs are better than hill-climbing w/random restarts in general